

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK**

UNITED STATES OF AMERICA

v.

NEIL PHILLIPS,

Defendant.

No. 1:22-cr-00138 (LJL)

**DEFENDANT'S PROPOSED
VERDICT FORM**

The Jury's verdict must be unanimous on each count.

Question One: Count One – Jurisdiction

1. Has the government proven beyond a reasonable doubt that the conduct charged in Count One, Conspiracy to Commit Commodities Fraud, occurred in the United States or had a direct and significant connection with activities in, or effect on, commerce of the United States?

Yes _____

No _____

[If you answered "No" to Question One, proceed to Question Three. If you answered "Yes" to Question One, proceed to Question Two.]

Question Two: Count One – Conspiracy to Commit Commodities Fraud

2. On Count One, which charges the defendant with willfully and knowingly conspiring to commit a violation of the Commodities Exchange Act (7 U.S.C. § 9(1)), we the jury find NEIL PHILLIPS, the defendant:

Not Guilty _____

Guilty _____

[Proceed to Question Three.]

Question Three: Count Two – Jurisdiction

3. Has the government proven beyond a reasonable doubt that the conduct charged in Count Two, Commodities Fraud, occurred in the United States or had a direct and significant connection with activities in, or effect on, commerce of the United States?

Yes _____

No _____

[If you answered “No” to Question Three, sign and date the form and do not proceed to Question Four. If you answered “Yes” to Question Three, proceed to Question Four.]

Question Four: Count Two – Commodities Fraud

4. On Count Two, which charges the defendant with willfully and knowingly committing a violation of the Commodities Exchange Act (7 U.S.C. § 9(1)), we the jury find NEIL PHILLIPS, the defendant:

Not Guilty _____

Guilty _____

FOREPERSON

DATE